

Parameterizing non-propagating form drag over rough bathymetry

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ABSTRACT

12 Slowly-evolving stratified flow over rough topography is subject to substantial drag due to internal
13 motions, but often numerical simulations are carried out at resolutions where this “wave” drag
14 must be parameterized. Here we highlight the importance of internal drag from topography with
15 scales that cannot radiate internal waves, but may be highly non-linear, and we propose a simple
16 parameterization of this drag that has a minimum of fit parameters compared to existing schemes.
17 The parameterization smoothly transitions from a quadratic drag law ($\sim hu_0^2$) for low- Nh/u_0 (linear
18 wave dynamics) to a linear drag law ($\sim h^2u_0N$) for high- Nh/u_0 flows (non-linear blocking and
19 hydraulic dynamics), where N is the stratification, h is the height of the topography, and u_0 is
20 the near-bottom velocity; the parameterization does not have a dependence on Coriolis frequency.
21 Simulations carried out in a channel with synthetic bathymetry and steady body forcing indicate
22 that this parameterization accurately predicts drag across a broad range of forcing parameters when
23 the effect of reduced near-bottom mixing is taken into account by reducing the effective height of the
24 topography. The parameterization is also tested in simulations of wind-driven channel flows that
25 generate mesoscale eddy fields, a setup where the downstream transport is sensitive to the bottom
26 drag parameterization and its effect on the eddies. In these simulations, the parameterization
27 replicates the effect of rough bathymetry on the eddies. If extrapolated globally, the sub-inertial
28 topographic scales can account for 2.7 TW of work done on the low-frequency circulation, an
29 important sink that is redistributed to mixing in the open ocean.

1. Introduction

Stratified flows passing over bathymetry experience drags that are often many orders of magnitude larger than that effected by skin friction alone, due to the creation of internal motions that either radiate away as internal waves (Bell 1975) or are trapped as “non-propagating” motions near the topography (e.g. Bacmeister and Pierrehumbert 1988). These motions are important to large-scale momentum, energy, and mixing in both the ocean and the atmosphere, yet often must be parameterized in simulations that do not include enough resolution to capture the small-scale topography.

Here we consider low-frequency flows, where the variation in the flow is taken to be substantially sub-inertial and has a near-bottom speed u_0 and stratification N . The flow is taken to be over idealized topography that is stochastically specified by empirical models (e.g. Goff and Arbic 2010), where the amplitude is a power-law function of lateral wavenumber, k , and has a variance controlled by a topographic height, h . In this scenario, the stratified response at a given wavenumber k depends on the ratio of the intrinsic frequency to the Coriolis parameter, $u_0 k / f$, and the ratio of the obstacle height to depth the flow is able to push the stratified water over the obstacle, Nh / u_0 .

For relatively small lateral scale topography, $u_0 k / f > 1$, radiating internal lee waves are possible. If it is also the case that $Nh / u_0 \ll 1$ then linear theory applies, and the drag and energy lost from the mean flow can be calculated analytically (FIG. 1d Bell 1975). The high-wavenumber part of the topography typically has a small amplitude (so-called “abyssal hills”), so the flows are well-approximated by linear theory (Nikurashin and Ferrari 2010; Nikurashin et al. 2014). Estimates for energy put into these motions has ranged from 0.2 to 0.5 TW (1 TW = 10^{12} W; Naveira Garabato et al. 2013). In addition to exerting drag, a portion of the energy in the radiated waves can be lost via wave-wave interactions to generate a halo of turbulence up to a few hundred meters above the

53 sea floor. Recent work has indicated that parameterizing the mixing and drag due to tidal flow
 54 (e.g. Melet et al. 2013; de Lavergne et al. 2017) and mean flows (Melet et al. 2014) over “abyssal
 55 hills” can alter the large-scale circulation and stratification. For the case where $u_0 k / f > 1$, but
 56 Nh / u_0 is not small, it is believed that partial blocking can enhance the drag and energy loss further,
 57 with estimates of up to another 0.5 TW of energy lost due to such motions (Trossman et al. 2013,
 58 FIG. 1b).

59 For medium-scale topography ($u_0 k / f < 1$, but still subgrid-scale to a climate model) radiating
 60 internal waves are not possible, and, in the steady state of the linear inviscid limit, cannot remove
 61 energy from the mean flow (FIG. 1c). However, the height scale of the topography, h , appropriate
 62 at these medium-scale tends to be substantially larger than for small-scale topography, and Nh / u_0
 63 can become quite large (FIG. 1a). The flow at these scales is inherently non-linear, and there is
 64 substantial local blocking upstream of topographic features, and breaking downstream. Klymak
 65 (2018) demonstrates that, for a typical bathymetric spectrum (e.g. the ones used by Nikurashin
 66 et al. 2014), the large-scale bathymetry was responsible for 66% of the total dissipation in the flow,
 67 and is crucial to include either via explicitly resolving the flow or by parameterization. This is
 68 called “non-propagating” drag, because even though the response has drag, it is not classical linear
 69 wave drag.

70 The importance of parameterizing drag and turbulence due to non-propagating motions over and
 71 around large-amplitude topography ($Nh / u_0 >> 1$) has been long realized as necessary to simulate
 72 the large-scale atmosphere (e.g. Bacmeister and Pierrehumbert 1988; Lott and Miller 1997). A
 73 number of schemes have been devised based on the fact that substantial portions of the flow can
 74 be blocked and diverted around an isolated obstacle. The drag typically used is the same as
 75 for a bluff body in unstratified flow, is quadratic with the flow speed, and is proportional to the
 76 cross-sectional area of the obstacle exposed to the mean flow, with an empirically derived drag

77 co-efficient (e.g. Scinocca and McFarlane 2000; Garner 2005). These bluff body parameterizations
 78 of the non-propagating drag have been shown to be important in numerical simulations of the ocean
 79 (Trossman et al. 2013, 2016), and to have promise when compared to observations (Trossman et al.
 80 2015), though the ocean work has been in the regime where $u_0 k / f > 1$. These parameterizations
 81 are quadratic in flow speed and linear in obstacle height corrected by the blocking depth $h_b = u_0 / N$,
 82 i.e.

$$D_{np} \sim (h - h_b) u_0 |u_0|. \quad (1)$$

83 Conversely, (Klymak et al. 2010) found that drag over an isolated two-dimensional obstacle for
 84 $Nh/u_0 \gg 1$ is linear in u_0 and quadratic in height:

$$\frac{D_{np}}{\rho_0} \approx \frac{u_0^2 h}{L} \frac{\pi}{2} \left[\frac{Nh}{u_0} + \pi \right] = C_l u_0 + C_q u_0^2, \quad (2)$$

85 where L is an along-flow lateral scale (discussed below), and we have defined a linear drag co-
 86 efficient as $C_l = \frac{Nh^2\pi}{2L}$ and a quadratic drag co-efficient as $C_q = \frac{h\pi^2}{2L}$. Note that the quadratic (in u_0)
 87 term has the same scaling as the non-linear drag typically used in the atmosphere for low Nh/u_0 ,
 88 but asymptotes to a linear drag law at high Nh/u_0 . The physical difference is that the drag for a
 89 stratified flow over an obstacle arises due to blocking of the densest part of the flow, creating a
 90 cross-obstacle pressure gradient that is solely due to the increased amount of dense water upstream
 91 compared to downstream, whereas drag in the bluff body is due to lateral flow separation.

92 The goal of this paper is to argue that this “medium-scale” topography is important for the
 93 ocean, and to test the appropriateness of the parameterization in equation (2), and argue that it
 94 provides an accurate yet simple way to transition between high- and low- Nh/u_0 flow regimes with
 95 a minimum of fit parameters. It was shown in Klymak (2018) that the non-propagating drag is
 96 indeed linear in the flow speed u_0 , and not quadratic. Here we test the rest of the parameterization
 97 via idealized simulations that vary N , h , and the Coriolis parameter f , and via more realistic, but

98 still idealized, wind- and thermally forced channel flows. After specifying the numerics used in
 99 the simulations (section 2), we argue that equation (2) is indeed appropriate across a large range of
 100 parameters (section 3). The paper subsequently considers a number of quasi-realistic simulations
 101 that are idealizations of the flow in the Southern Ocean (section 4). The wind and thermally
 102 driven channel was chosen for testing the parameterization because it is a closed system that still
 103 demonstrates substantial complexity that may be met by an eddy-resolving, large-scale simulation,
 104 and there is substantial literature that uses it already (e.g. Abernathey and Cessi 2014; Marshall
 105 et al. 2017). In the discussion (section 5) we outline some caveats with our approach, and then
 106 apply the parameterization to a global data set of topographic roughness, bottom stratification,
 107 and velocity from an eddy-permitting assimilated model, to arrive a total energy removed from
 108 low-frequency motions of 2.7 TW.

109 **2. Model configurations**

110 Two types of simulation are used in this paper. The first is a doubly-periodic domain forced
 111 with a cross-channel body force that, absent other forces, is geostrophically balanced by an along-
 112 channel flow. Stratification, topographic roughness, and Coriolis frequency are varied in this setup
 113 to test the parameterization of the near-bottom drag. The second configuration is a long re-entrant
 114 channel that has a large Gaussian ridge in the middle and varying roughness. This model is forced
 115 with a wind stress and thermal relaxation at the surface, and the bottom drag is either resolved or
 116 parameterized.

117 The MITgcm was used for all simulations (Marshall et al. 1997), in a manner analogous to previous
 118 work at similar scales (Buijsman et al. 2014; Klymak et al. 2016; Klymak 2018). Background ex-
 119 plicit vertical and horizontal viscosity and diffusivity are kept low ($K_\rho = \nu = 10^{-5} \text{ m}^2 \text{ s}^{-1}$) except in
 120 the presence of resolved density overturns, where the vertical viscosity and diffusions are increased

121 in a manner consistent with the expected Thorpe scale (Klymak and Legg 2010). There is also
 122 numerical diffusivity and dissipation due to the second-order flux-limiting temperature advection
 123 scheme (`tempAdvScheme=77`; see the MITgcm manual). Using a weak explicit diffusivity allows
 124 the internal wave field to evolve freely to the extent that the chosen resolution allows, rather than
 125 adding artificial damping (Shakespeare and Hogg 2017). For the work carried out here, the terms
 126 in the energy budget are all calculated and the residual is identified as the dissipation. However,
 127 the spatial distribution of explicit dissipation (calculated from the explicit viscosities and local
 128 shears) is similar to the spatial distribution of the residual of the energy budget. The model is
 129 run in hydrostatic mode for all simulations. Stratification is via a linear equation of state, with
 130 temperature as the only active tracer.

131 *a. Doubly periodic simulations with body force*

132 These simulations are identical to those used in Klymak (2018), and inspired by Nikurashin et al.
 133 (2014). We assume a doubly periodic domain with constant stratification and a mean flow in the
 134 x-direction forced over rough topography. The strength of the flow maintained by a body force
 135 meant to simulate an externally-imposed surface pressure gradient. The doubly periodic lateral
 136 domain of 409.6 km in the x direction and 118.4 km in the y direction is chosen to capture sufficient
 137 variance in the large-scale topography. All simulations had a constant vertical resolution of 10 m
 138 over 4000 m depth. 1-km scale runs were spun up for 200 h.

139 The topography is the low-passed version of that used in Nikurashin et al. (2014) and (Klymak
 140 2018) based on Goff and Arbic (2010), with parameters given by:

$$P_{2D}(k, l) = \frac{2\pi a^2 (\mu - 2)}{k_0 l_0} \left(1 + \frac{k^2}{k_0^2} + \frac{l^2}{l_0^2} \right)^{-\mu/2}, \quad (3)$$

where a is the root-mean-square of the topographic amplitude, $\mu = 3.5$ is a parameter setting the high-wavenumber slope of -1.75 , and $k_0 = l_0 = 1.8 \times 10^{-4} \text{ rad m}^{-1}$ are parameters that set the wavelength at which the spectrum of the topography starts to flatten out toward a white low-wavenumber spectrum. A range of values were tested for a , the background stratification N , k_0 , and f . Note that the h used in equation (2) is a trough-to-peak height, and hence is approximately $h = 2a$.

b. Wind-forced channel simulations

The second set of simulations are run in a zonal channel forced by a sinusoidal wind stress and temperature relaxation at the surface. The wind-forced domain has the same numerics as the simulations above. The channel is 1024 km wide and 1600 km long (FIG. 2). The bathymetry is 3000 m deep, with sloping walls along the north and south wall faces, and a meridional Gaussian ridge in the middle of the domain with a zonal lateral scale of 100 km and a height of 1000 m (2000 m depth). Simulations are run with smooth seafloor bathymetries, and bathymetries with an added roughness that follows the same statistics as in the previous section (FIG. 3). These runs are started with a uniform temperature of 4°C , and the temperature is forced at the surface with a relaxation time scale of 48 hours to be 4°C at the southern end, and linearly increasing to 12°C at the northern end. A sinusoidally-varying westerly wind forcing is also applied down-channel, with a peak in the center of the channel of 0.2 N m^{-2} , and nulls at the north and south walls. This forcing and setup are meant to emulate many wind-driven channel studies (e.g. Abernathey and Cessi 2014; Marshall et al. 2017).

The simulations were run in stages; a 20-km horizontal resolution and 80-m vertical resolution simulation was run for 100 years with the smooth bathymetry. A second run was conducted with the lateral resolution increased to 5 km, and the vertical resolution increased to 40 m, for 10 years.

164 5 km was the limit of what we could feasibly simulate at a manageable computing cost, and the
 165 indication from Klymak (2018) is that this resolution will provide a drag in flows with rough
 166 bathymetry that is probably exaggerated by a factor of 1.4 compared to simulations with respective
 167 horizontal and vertical resolutions of 100 m and 10 m. As noted below, the simulations are close
 168 to being in mechanical equilibrium, but they are not anywhere near thermal equilibrium, which
 169 would require several centuries of simulation (e.g. Munday et al. 2015, ran similar simulations for
 170 620 years).

171 3. Parameterizing drag due to “medium” horizontal-scale topography

172 The first series of simulations were run with a body force over doubly-periodic stochastic topog-
 173 raphy similar to Klymak (2018). Here we only consider topography low-passed in space so that
 174 variance at horizontal scales smaller than 6 km is attenuated. At smaller scales, linear lee-wave
 175 parameterizations tend to do well, so we are only interested in parameterizing the large scales
 176 here. For comparison with the parameterization (equation (2)), we choose a representative hori-
 177 zontal spacing between obstacles of $L = (100 \text{ km}) 1.8 \times 10^{-4} \text{ rad m}^{-1}/k_0$, where k_0 is the roll-off
 178 wavenumber of the topographic spectrum (Klymak 2018). Simulations were run with a base con-
 179 figuration of $f = 10^{-4} \text{ rad s}^{-1}$, $u_0 = 0.1 \text{ m s}^{-1}$, $N = 10^{-3} \text{ rad s}^{-1}$, a maximum depth $H = 4000 \text{ m}$,
 180 and a topographic roughness on top of this as described by equation (3) with a basic amplitude of
 181 $a = 305 \text{ m}$ and $k_0 = l_0 = 1.8 \times 10^{-4} \text{ rad m}^{-1}$.

182 There is important time dependence to these simulations, both due to the initial conditions,
 183 and due to mixing in the model domain. The topographic amplitude here is $a = 305 \text{ m}$ so the
 184 peak-to-trough excursions of the topography here are $h \sim 600 \text{ m}$ (FIG. 4). However, the near-bottom
 185 stratification, $N(z)$, is eroded with time in these simulations due to mixing, such that the average
 186 stratification in the layer encompassing the topography the stratification is half the stratification

aloft (FIG. 5). There is no buoyancy source to replenish the stratification, and this stratification is close to steady state by the end of the simulation. This leads to a reduction of drag that we account for by considering the height of the obstacles as WKB-stretched variables for the purposes of the parameterization. So, for the parametrization we use $h_{WKB} = h \frac{\langle N \rangle}{N_0} \approx \frac{1}{2} h = a$, where a is the topographic amplitude. Note that in Klymak (2018) we simply used a for the parameterization without justification. Conversely, we note below that the freely evolving wind-driven simulation that includes an overturning circulation with a buoyancy source feels the full crest-to-trough bathymetry, and a WKB adjustment is not necessary.

Energy budgets were performed on the runs, as described in Klymak (2018), and an average vertically integrated dissipation rate (D [mW m⁻²]) across the domain was computed as the integral of vF_b , where F_b is the y-direction body force used to force the model, and v the north-south velocity (FIG. 5a-b).

Simulations were carried out as deviations from the base values. First, a was varied, with $a = 155, 305$ and 610 m (FIG. 6a); we find that higher obstacles lead to more dissipation. The scaling is not linear in a , but rather closer to quadratic. We are prevented from exploring smaller-scale topography by the vertical resolution of the model setup.

As the length scale L is changed by varying k_0 (FIG. 6b), we see that there is less dissipation with increasing length scale as expected. The dissipation appears to plateau for small horizontal scales, mildly disagreeing with the scaling here. This is still under investigation, but is probably because the topographic elements become less dynamically distinct.

As stratification is increased, $N = 0.5, 2, 4 \times 10^{-3}$ rad s⁻¹ (FIG. 6c), we see that dissipation goes up, as predicted. This is the starkest difference with the non-propagating drag parameterizations used in previous literature (e.g. Trossman et al. 2013), which do not account for stratification

210 except as a second order correction. These simulations show that for larger values of Nh/u_0 , the
211 stratification plays a leading-order role.

212 There appears to be, at most, a weak dependence on the Coriolis force (FIG. 6d), again as
213 predicted by equation (2) where there is no dependence on the Coriolis parameter. The agreement
214 is not perfect; there is a 30% drop in dissipation as the Coriolis parameter drops to the value that
215 corresponds to 15°N . However here we have again not changed the topography, so as f drops more
216 internal waves are able to radiate rather than being blocked, a complication we have not tried to
217 account for.

218 Finally, Klymak (2018) showed a clear dependence on u_o that follows that predicted by equa-
219 tion (2), so we do not reproduce that here.

220 Overall, the parameterization appears to do well over a wide range of ocean-relevant conditions.
221 It has the advantages over other schemes of its containing only one ad-hoc parameter, L in
222 equation (2), and its allowance of a seamless merging of the parameterizations for high- and
223 low- Nh/u_0 flows without arbitrary cut-off parameters. Conversely, the parameterization does not
224 include the high-wavenumber component of the topography, and hence misses the internal wave
225 dissipation, but there have been robust attempts at addressing this portion of the drag problem
226 already (Nikurashin et al. 2014).

227 **4. Wind-forced channel parameterization**

228 The doubly periodic simulations forced via a body force are not very applicable to the open ocean,
229 where mean flows tend to be dominated by eddying motions with sub-inertial time scales. Here
230 the parameterization is tested in a wind- and thermally driven simulation that is forced only at the
231 surface, allowing the near-bottom drag to evolve on its own, and buoyancy to be replenished by the
232 overturning circulation in the channel. The simulation chosen was a wind-forced re-entrant channel

with relaxation of the surface temperature to a north-south gradient, and a large-scale Gaussian ridge in the middle of the channel. This style of simulation catalyzes eddies in the flow (Abernathy and Cessi 2014), and eddy strength, and hence downstream transport and stratification, have been shown to be sensitive to the parameterization of the bottom drag (Marshall et al. 2017).

Smooth-bathymetry simulations were run with either a weak quadratic bottom drag ($C_q = 10^{-3}$) or with a bottom drag based on the parameterization above (equation (2)), meant to simulate the drag effect of the rough bathymetry. This was implemented as a quadratic plus linear drag in the model, using an approximate near-bottom stratification of $N \approx 5 \times 10^{-4}$ rad/s, a topographic amplitude of $a_0 = 305$ m, and a lateral scale of $L = 100$ km. Using $h = 2a_0$ to account for the peak-to-trough amplitude, this yields a base run linear coefficient of $C_l = 2.9 \times 10^{-3} \text{ m s}^{-1}$ and a quadratic coefficient of $C_q = 3 \times 10^{-2}$. A better parameterization would be to make the coefficients depend on the evolving near-bottom stratification, rather than choosing a value for N ; however, that complexity was not addressed in these simulations.

Finally, drags are usually applied at the bottom-most cell of the model. However this leads to excessive near-bottom shear, and does not slow the water down over the range of depths that it does in the simulations with rough bathymetry. In order to simulate this effect, the bottom drag is evenly distributed over a vertical scale of 280 m (7 grid cells) similar to the vertical blocking scale of the topography $\pi u_0 / N$ (Klymak et al. 2010). This is analogous to what was done by Trossman et al. (2016), who applied their non-propagating drag over the bottom 500 m.

a. Flow response

Example lateral slices of temperature through the simulations show similar features between the SMOOTH and ROUGH simulations (FIG. 7), but small scales are suppressed in the ROUGH simulation leading to a more ordered eddy field near the surface as the cascade to small scales is hampered.

256 The PARAM simulation has similarly suppressed eddy filamentation. Note that all three simulations
257 have different mean temperatures at these depths, a symptom of the fact that none of the runs are
258 in thermal equilibrium.

259 Spectra of vertical velocity (FIG. 8) clearly show the suppression of eddies at subinertial frequen-
260 cies in the ROUGH and PARAM simulations, where there is less variance following the expected eddy
261 propagation curve. Note the relatively weak internal wave field in all three simulations, which
262 is unsurprising because any trapped internal waves would be subinertial in the Eulerian frame of
263 reference, and the usual sources of propagating internal wave energy are missing (e.g. tides and
264 variable winds).

265 The effect on the large-scale flow is as predicted by other studies (e.g. Marshall et al. 2017).
266 Suppressing the mesoscale eddy field increases the baroclinicity of the flow significantly, as well
267 as deepening the thermocline (FIG. 9). The reason for this is that eddies are necessary to transmit
268 surface stress down through the water column to ultimately be removed at the bottom. If the eddies
269 are spun down more quickly, the flow must develop more baroclinicity, and hence more baroclinic
270 instabilities, until a sufficiently large eddy stress exists to allow the flow to come into steady state.
271 A byproduct is that there will be a stronger near-surface flow, and an increase in the transport along
272 the channel (see below).

273 An interesting effect of the rough bathymetry (and its parameterization) is to greatly alter the
274 standing meander that forms directly downstream of the basin-scale ridge, most clearly seen by
275 looking at the bottom pressure signal (FIG. 10). There is low pressure over the ridge in all three
276 simulations, but downstream the large anticyclone is not as strong in the ROUGH and PARAM
277 simulations. The effect of this on the large-scale drag is beyond our scope here, but is a curious
278 result of adding more friction to the bottom drag (e.g. Thompson and Naveira Garabato 2014).

b. Integrated response and parameterization

The overall effect of the rough topography and its parameterization is to lead to smaller eddy kinetic energy by approximately 50% (FIG. 11a), and stronger downstream transport by up to a factor of four (FIG. 11c). The time series also make it clear that the simulations are in approximate mechanical steady state (FIG. 11a), but that they have not reached thermal equilibrium (FIG. 11b), which would take a few hundred years of simulation.

Parameterizing the drag due to the rough bathymetry was attempted in a few different ways. First, we tried a run with just a quadratic drag coefficient of $C_Q = 0.05$, and a separate run with just a linear drag coefficient of $C_L = 0.0025$. These are quite high drag coefficients, and lead to a strong increase of the down-channel transport (FIG. 11c), to 35 and 30 Sv respectively. Applying the parameterization with $h = 2a = 610$ m leads to a much closer fit to the downstream transport (PARAM, FIG. 11c, red curve).

In these simulations, it is easiest to change the topographic amplitude, so we have done so over a range of topographic heights that are relatively large, $a = 305$ m (the cases shown above), 450 m, and 610 m. We ran the model with explicit rough bathymetry, and with the parameterized drags. For the linear-only drag law we increased the coefficient by the square of the topographic height, and for the quadratic-only drag law we increased the coefficient linearly with the topographic height.

As the stochastic topographic amplitude a is increased in the model simulations with explicit roughness, the downstream transport increases from 45 Sv to 62 Sv (FIG. 12, orange), due to the extra suppression of eddies. The quadratic form drag is assumed to scale with $h = 2a$, and hence does not see as much of an enhancement in downstream transport as a is increased (FIG. 12, gray, dashed). The linear form drag scales as h^2 , and has a larger fractional increase in the downstream transport than is observed (FIG. 12, gray, dash-dot). The parameterization (FIG. 12, red curve) has a

302 dependence on $h = 2a$ that is very similar to the explicit bathymetry simulation. Of course, both the
303 linear or quadratic drags could have been tuned to better match the rough bathymetry simulations
304 for any particular value of a , but the point is that the power-law dependence on other values of a
305 would still be incorrect, such that the hybrid scheme is an improvement over both.

5. Discussion

Here we tested a hybrid drag parameterization, equation (2), and found that it improves the simulation of bottom roughness in coarse models that do not resolve the bathymetry. There are a few straight-forward caveats to this finding that should be noted. First, the body-forced runs (no wind forcing) found that the parameterization should use the amplitude of the topographic spectrum, scaled in a WKB sense to account for a loss of stratification as the simulation evolves: $h_{WKB} \approx a$ (e.g. Aguilar and Sutherland 2006), in equation (3). For the wind-forced runs the near-bottom stratification evolves in response to the buoyancy forcing at the surface, and the flow comes from different directions as eddies move past the topography, therefore well-mixed regions do not form in the deepest topography, and $h = 2a$.

Note that when we applied the drag law to the eddy simulations, we did not include the computational complexity of calculating the stratification, and instead used a constant N for the parameterization. This was just for convenience, and to see if the drag law was useful. However, given that the linear drag depends on N , this really should be done online, so that the response to stratification is allowed to vary across the domain. This requires more work in determining N appropriately both in terms of how to average it to apply to this parameterization, and in how to include the effect of mixing due to the rough topography in the evolution of the stratification. Similarly, we would expect substantial mixing from the parameterized drag, but we have not included this extra mixing in the simulations used here; how this would feed back with the overturning circulation in the eddy simulations is an important question (Broadbridge et al. 2016). However, as noted, we did not run the models to thermal equilibrium, so testing this would require significantly more numerical expense.

328 An important caveat is that all the topography used here is stochastic, isotropic, and homogenous
329 across the domain. These assumptions break down at the larger scales we are interested in, perhaps
330 much more so than the smaller-scale “abyssal hills” that radiate lee waves. In particular, the effect
331 of organized large-scale bathymetry, as opposed to stochastic bathymetry, should be investigated.
332 Anisotropy may particularly matter if the different topographic wavelengths are organized in such
333 a way as to be random for one direction, and in-phase for others.

334 Finally, the eddying simulations were somewhat cheaply run, and there is good evidence that
335 changing the horizontal and vertical resolution can affect the near-bottom drag significantly in
336 the presence of rough bathymetry (Klymak 2018). Our coarse (~ 40 -m) vertical resolution in the
337 wind-forced runs hampered our ability to look at smaller topographic amplitudes.

338 The relative success of this simple parameterization argues for further consideration of these
339 issues and more study into the underlying physics as it applies to three-dimensional bodies in a
340 rotating fluid. In particular, it is not clear why rotation is so unimportant to the drag. The scaling
341 for drag in high- Nh/u_0 flow was for a two-dimensional flow with no rotation following Klymak
342 et al. (2010). Isolated obstacles with rotation exhibit a quadratic drag dependence, whence came
343 the drag laws used in the atmospheric simulations. So, somehow the effects of many, randomly
344 distributed, obstacles combine to give a drag law similar to a two-dimensional obstacle with no
345 rotation. Further simulations are being carried out that attempt to understand this flow regime
346 more completely.

347 The overall importance of parameterizing the non-propagating drag with an Nh/u_0 -dependent
348 hybrid between a linear drag and a quadratic one can be investigated by calculating the effect
349 on the global ocean. Using the bathymetry roughness parameterization as supplied by Goff and
350 Arbic (2010), the near-bottom stratification from the World Ocean Atlas (Boyer et al. 2013), and
351 the near-bottom velocities from a 1/12-degree eddy-permitting data-assimilating global HYCOM

simulation (Naveira Garabato et al. 2013), drags and energy loss were computed using five-day averages of bottom velocities from the simulation, and then averaged for the one-year simulation to capture any seasonal effects (FIG. 13). The non-propagating drag can further be compared to the propagating drag due to stationary lee-wave radiation, again from Naveira Garabato et al. (2013).

Using our parameterization, non-propagating drag from the medium-scale topography ($ku_0/f < 1$) dominates the drag due to lee-wave radiating short-scale topography ($ku_0/f > 1$) almost everywhere in the ocean (FIG. 13a,b,c, and h). Of the quadratic and linear drags proposed here, the linear drag proportion is about 30% of the total, and hence important, particularly in low-velocity regions (FIG. 13g) where Nh/u_0 is large. The medium-scale drag is disproportionately concentrated in the Southern Ocean, with its rough topography and vigorous eddy field.

To our knowledge, simulations have either used the linear or quadratic drag laws exclusively, and not used this hybrid approach. The lee-wave parameterizations (e.g. Nikurashin et al. 2014) work out to be linear drag laws, and the parameterizations that in addition account for non-propagating effects (Trossman et al. 2013, 2016) also are implemented as a linear drag with a geometric amplification, but just for short-scale topography. Non-propagating drag in the atmosphere is typically parameterized as a quadratic drag (Lott and Miller 1997; Scinocca and McFarlane 2000). Certainly, there are values of Nh/u_0 that are large enough in the atmosphere to mean that the linear part of the drag law proposed here is likely important.

An obvious caveat of the calculation in FIG. 13 is that the near-bottom velocities in the 1/12-degree simulation partially depend on the bottom drag used in that simulation, so there is the potential that new global simulations that directly include the drag law proposed here would yield different total work. However, the simulation we used did have data assimilation, and hence was constantly being nudged to be more realistic, so we feel the near-bottom velocities here are a reasonable first estimate.

376 Whether a drag law and accompanying mixing as proposed here needs to be implemented in
377 higher resolution numerical simulations depends on the resolution and the bathymetry. A high-
378 resolution eddy-permitting or -resolving model may have grid resolutions on the order of 10-km,
379 which after lateral diffusivities are accounted for, lead to resolving flow features on the order of
380 30-50 km, and topography on the scale of 20-30 km. This would fall right in the middle of the
381 topographic wavelength range of 180 km to 6 km we are considering here, and hence a substantial
382 fraction of the drag and work discussed here may actually be resolved. As pointed out in Klymak
383 (2018), it is further important to have enough vertical resolution to simulate these drags, and even
384 eddy-resolving simulations may have poor near-bottom resolution. Conversely, once we get to
385 large-scale model resolutions that do not resolve the topography discussed here, it is likely they
386 do not have the near-bottom eddying motions that create the large near-bottom velocities, and they
387 deal with lateral diffusivities using schemes based on Gent and McWilliams (1990). So, while
388 our results indicate that this is an important part of the flow regime, how much of this drag is
389 captured in a given simulation depends crucially on its resolution (both vertical and horizontal) and
390 the scale at which the bathymetry is provided. Given that modern bathymetry has approximately
391 9-km resolution (Tozer et al. 2019) with robust bathymetric spectra, it is possible that many
392 fine-scale realistic simulations will not need to worry about parameterizing this medium-scale
393 non-propagating drag.

394 In terms energy, the proposed non-propagating drag from the medium-scale topography ($ku_0 < f$)
395 leads to 2.7 TW of work against low-frequency flows (FIG. 13e,f). This is very substantial compared
396 to 0.5 TW from the short-scale lee waves ($ku_0/f > 1$) (FIG. 13d, and Naveira Garabato et al. 2013).
397 As pointed out by Trossman et al. (2013, 2016), there is non-propagating drag for short-scale
398 topography as well. They found that this short-scale non-propagating drag in a 1/12-degree model
399 was similar to the radiating drag, so short-scale bathymetry potentially contributes 1 TW. Global

tides are thought to contribute another 1 TW to the near-bottom internal wave field (Egbert and Ray 2003), so the 2.7 TW proposed here is likely to be comparable to those sources.

A caveat against such a large loss of energy due to medium-scale topography is a lack of direct observational evidence. In the Southern Ocean, direct observations of dissipation and internal wave estimates of mixing have not seen enough dissipation to account for the energy lost from short-scale topography, let alone the larger values we are positing here (St. Laurent et al. 2012; Waterman et al. 2013). However, as noted by (Klymak 2018), the dissipation due to medium scale topography is very localized and in the lee of topographic features, in contrast to “lee-wave” energy, which we would expect to be more evenly distributed. It will also tend to not have a canonical internal wave ocean spectra or lead to the type of wave-wave interactions that are used to infer ocean dissipation rates from shear and strain finestructure (Gregg et al. 2003; Kunze et al. 2006, e.g.). Given that the eddying flow changes direction, it is very hard for a regional observation program to capture these dynamics, and points to the need for more targeted observational process studies (e.g. Clément et al. 2016; Evans et al. 2020) and numerical ones.

If close to as large as predicted, this drag is likely important for open-ocean mixing. While the motions generated from medium-scale topography cannot propagate vertically ($ku_0/f < 1$), the turbulence from the breaking of internal waves above the topography creates a halo of dissipation and mixing with a vertical scale of $\pi u_0/N$ (Klymak 2018), which can reach scales of many hundreds of meters. This is similar to parameterizations of tidal mixing (Jayne and St. Laurent 2001; St. Laurent et al. 2002), due to approximately 1 TW of input, which is typically distributed over approximately 300 m vertically, and due to 0.2-0.5 TW of energy radiated from propagating lee waves (Nikurashin et al. 2014; Naveira Garabato et al. 2013) which is distributed over a similar vertical distance. Global circulation models with 1-degree resolution have found significant effects on the global stratification and circulation when deep ocean mixing due to both tides and radiating

lee waves sources have been included (Melet et al. 2013, 2014). Even if our crude 2.7 TW estimate of the dissipation due to non-linear breaking at longer horizontal scales is an overestimate, it still seems likely to be an important source of deep ocean mixing worthy of further investigation.

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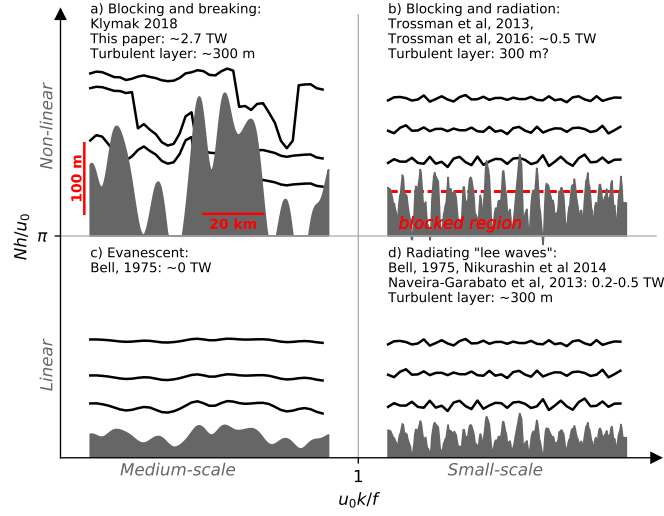


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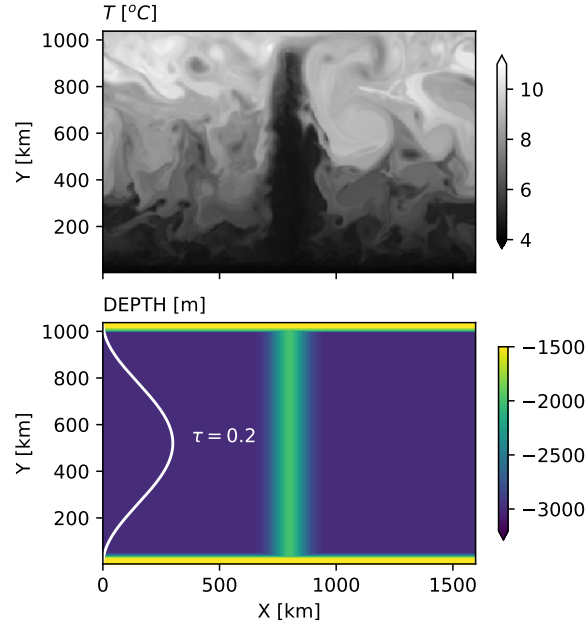


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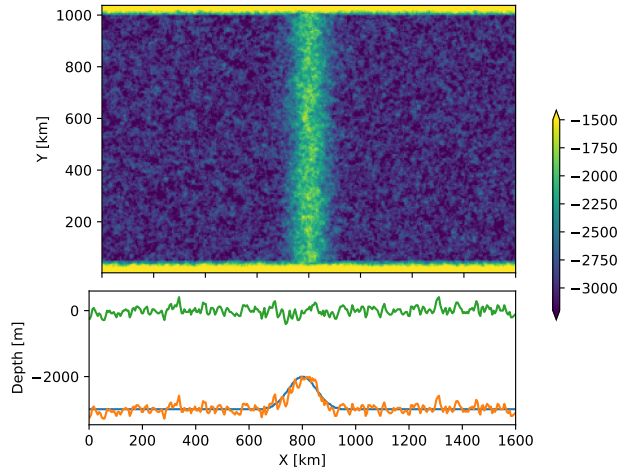


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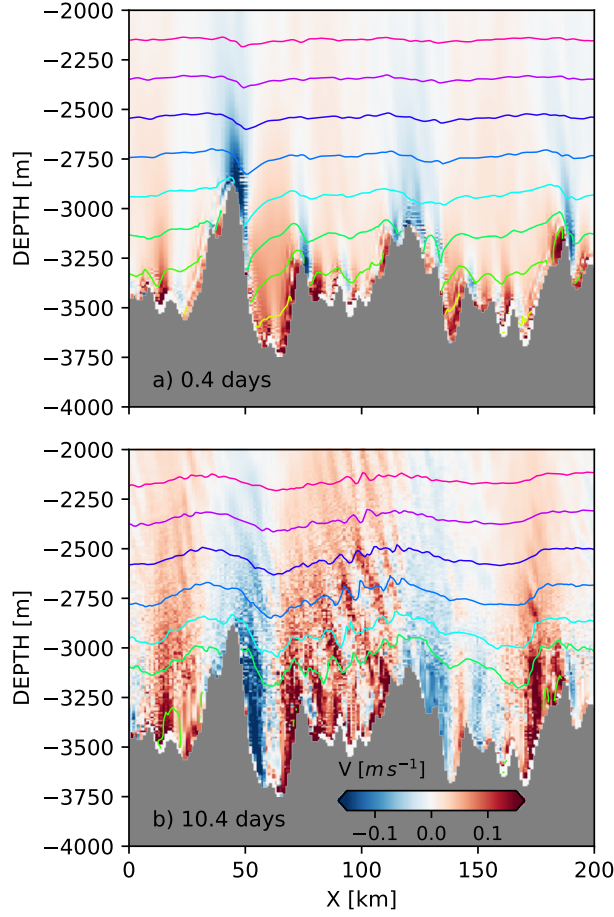
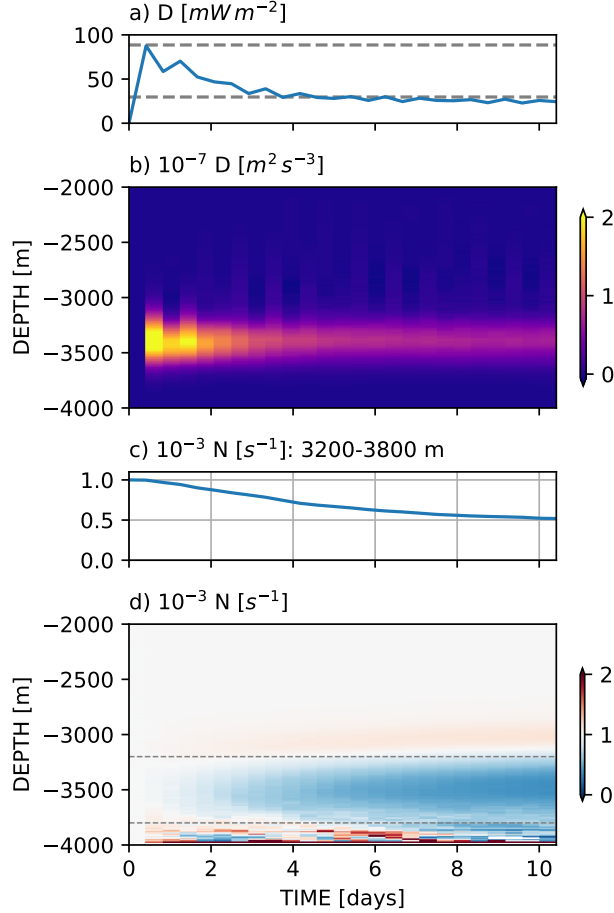


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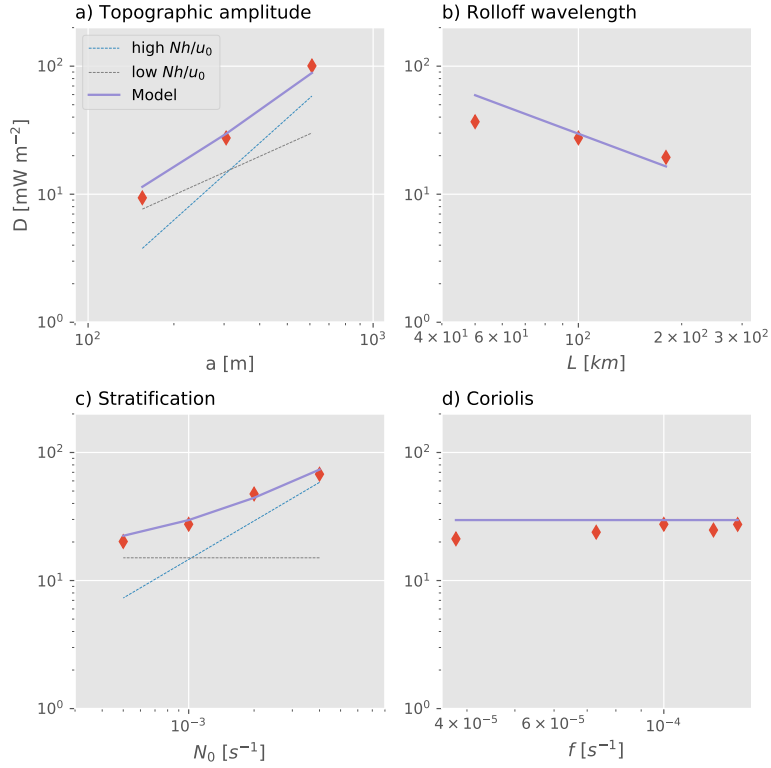


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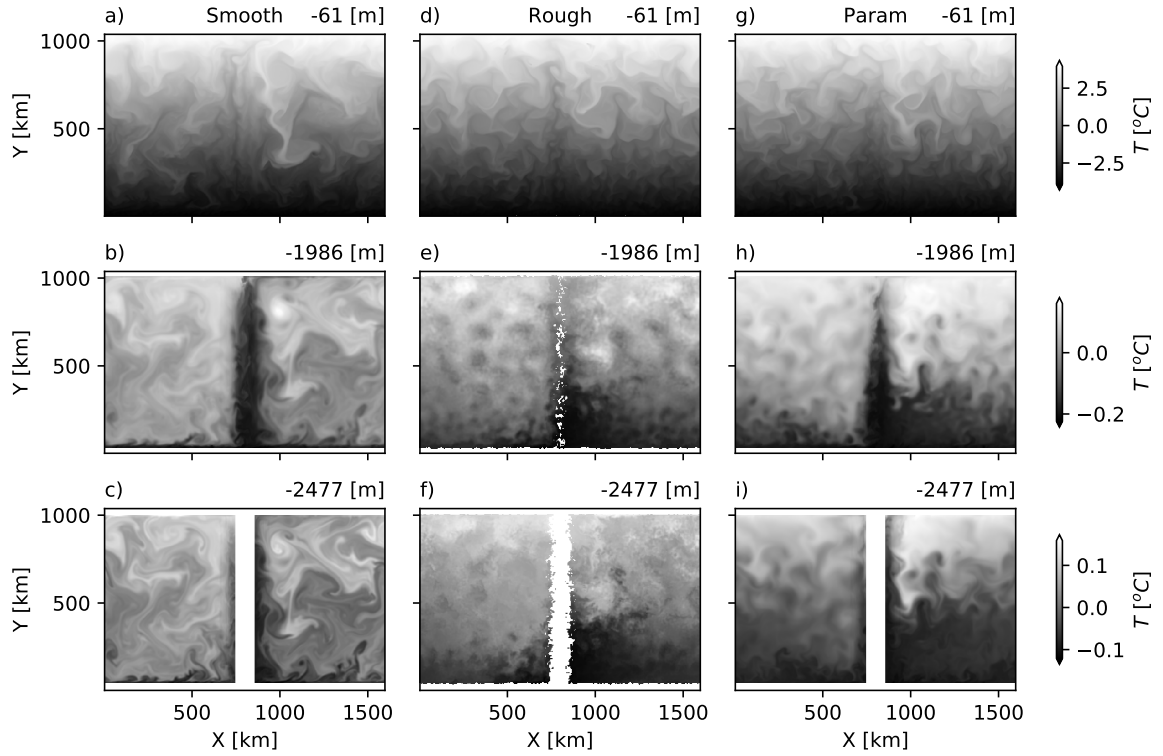


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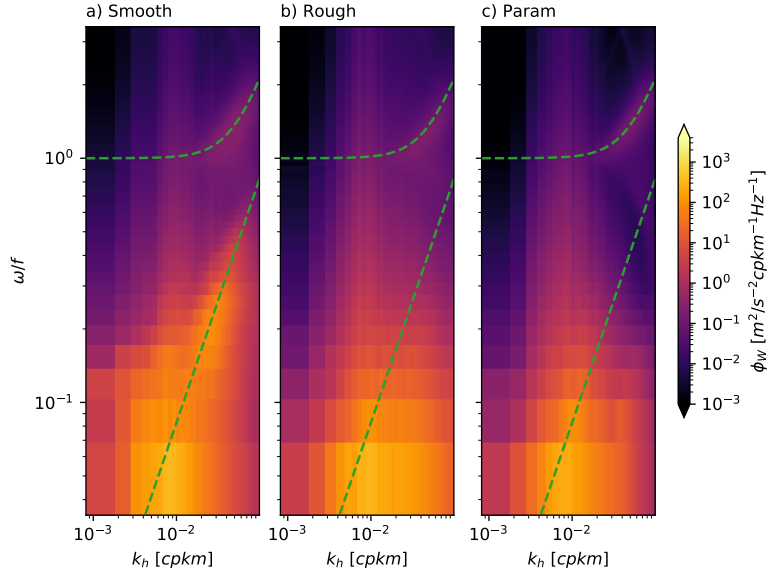


FIG. 8. Two-dimensional spectra of vertical kinetic energy at -1986 m for the three main simulations. The dashed green lines are a mode-1 internal wave dispersion relation (at super-inertial frequencies) and eddy motion assuming eddy propagation speeds of 8 km/d.

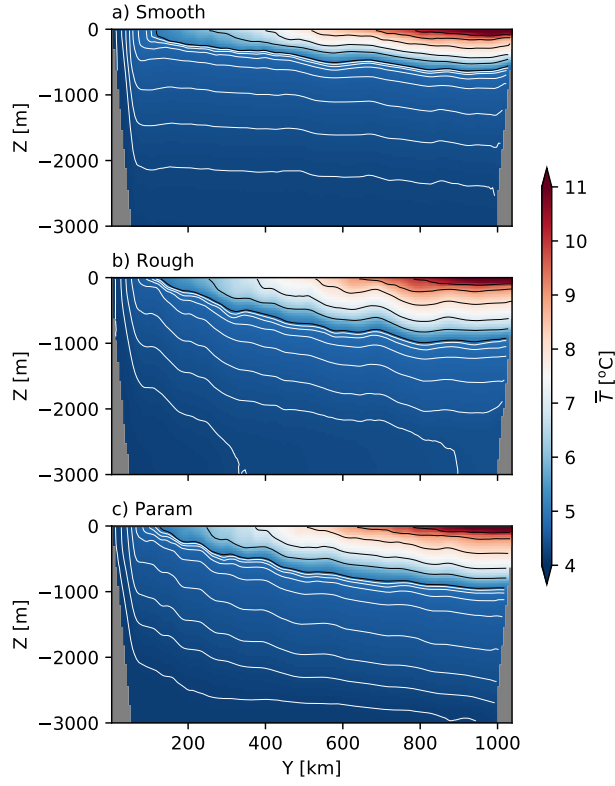


FIG. 9. Zonal temperature sections, averaged from $x=0$ to 500 km. Black contours are every degree C, white contours every 1/10 degree C from 5 to 4 degrees for three simulations a) SMOOTH bathymetry, b) ROUGH bathymetry and c) smooth bathymetry with the parameterization.

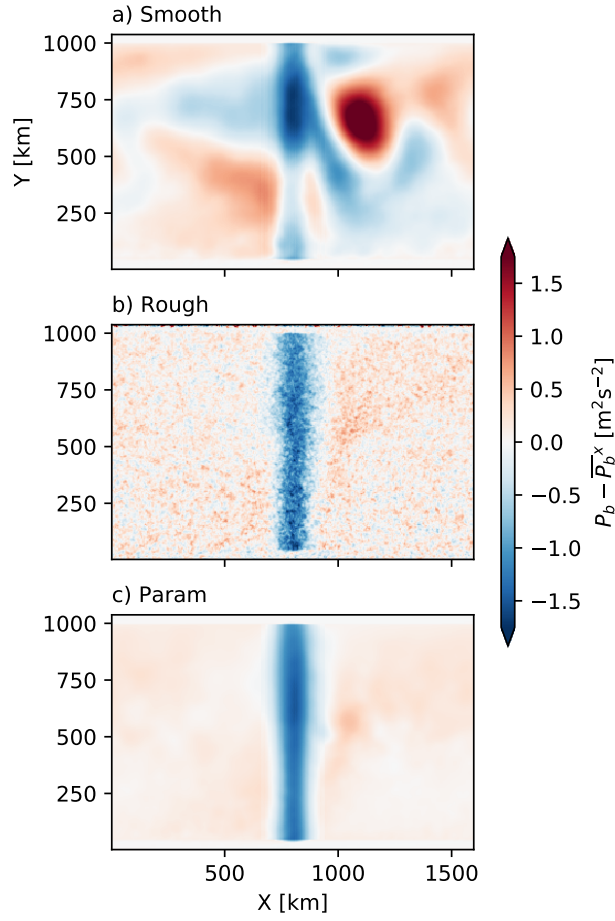


FIG. 10. Bottom pressure averaged over last two years of the simulation with the zonal means removed,

a) SMOOTH SIMULATION WITH WEAK DRAG b) ROUGH SIMULATION, AND c) THE PARAMETERIZATION OF THE
ROUGHNESS IN A SMOOTH MODEL.

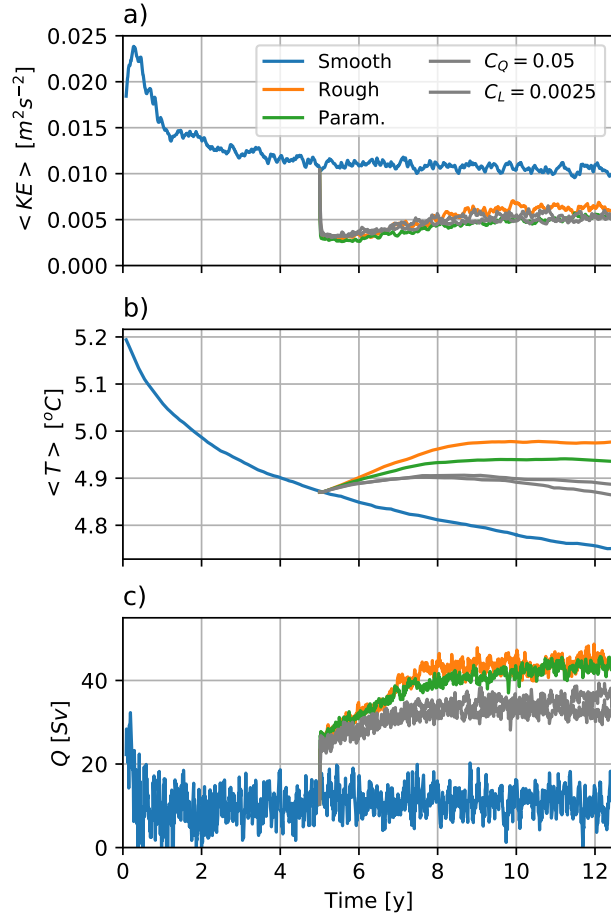


FIG. 11. a) Model-mean kinetic energy in the simulations with various parameterizations of the drag. b) Model-mean temperature c) Mean downstream transport. SMOOTH is with no roughness and weak quadratic drag, ROUGH has stochastic bathymetry in the model, PARAM is smooth bathymetry with the hybrid linear/quadratic parameterization given in equation (2). C_Q is with a heightened quadratic drag only, and C_L is with a heightened linear drag only.

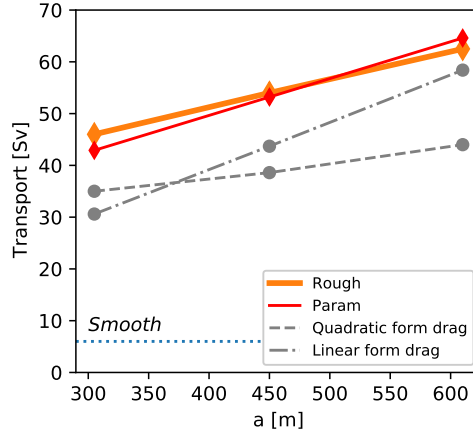


FIG. 12. Effects on the downstream transport of different roughness parameterizations. ROUGH is the 5-km simulations with resolved topography included. PARAM (red) is the same parameterization proposed here with $h = 2a$. Simulations with a quadratic (grey dashed) and linear (grey dash-dot) form drag only, approximately scaled to match the ROUGH simulations.

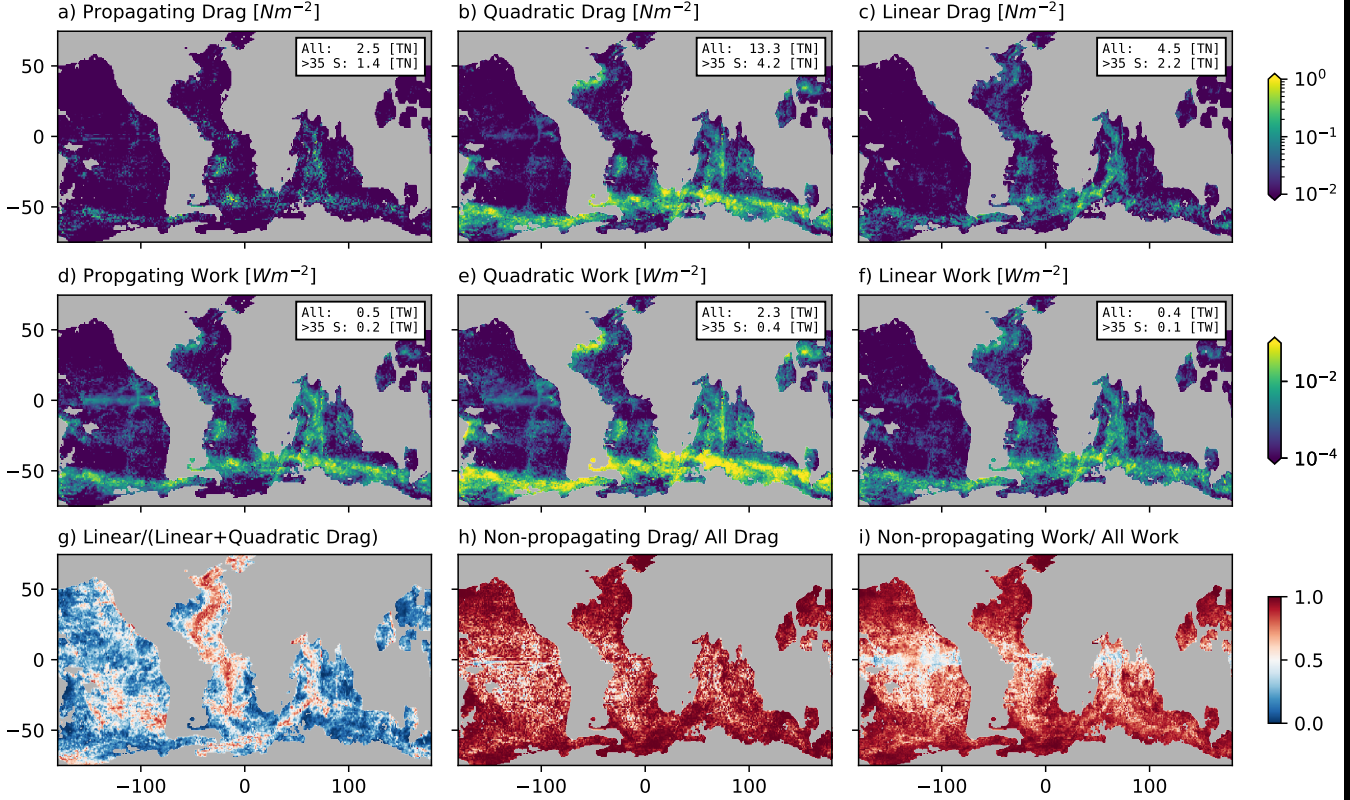


FIG. 13. Bottom drag, work done on the low-frequency flow, and ratios. Near-bottom velocities are from the 1/12-degree HYCOM model run described in Naveira Garabato et al. (2013), stratification is taken from the World ocean Atlas, 2013 (Boyer et al. 2013), and bottom topography parameters are taken from Goff and Arbic (2010). a) Propagating drag (radiating lee waves), as described in Naveira Garabato et al. (2013). b) Quadratic drag as described in this paper. c) Linear drag as described in this paper. d) Work done on the mean flow by propagating drag, e) quadratic non-propagating drag, and f) linear non-propagating drag. g) Fraction of linear non-propagating drag to total non-propagating drag. h) Fraction of non-propagating drag to all drag, and i) non-propagating work to all work.